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Date of Deposit: November 26, 2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## REFERENCES

~~ADDITIONAL~~ Application of:

James M. Cleeves

Serial No.: 08/581,347

Filed: December 29, 1995

For WAFER TEMPERATURE  
CONTROL APPARATUS AND  
METHOD

Examiner: V. Porter

Group Art Unit No.: 1645

**PRELIMINARY AMENDMENT**

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Please amend the application as follows:



NOV 28 2003

Bd of Appeal

RCE-1600

EXPRESS MAIL LABEL NO. EL834728041US

<b>REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL</b>  Address to: Commissioner for Patents Box RCE Washington, DC 20231	Application Number	08/581,347
	Filing Date	December 29, 1995
	First Named Inventor	James M. Cleaves
	Art Unit	1645
	Examiner Name	V. Porter
	Attorney Docket No.	9799940-0010

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

**1. Submission required under 37 CFR 1.114**

- a. ☐ Previously submitted
- i. ☐ Consider the reply under 37 CFR 1.116 previously filed on October 30, 2003.  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other Preliminary Amendment

**2. Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(l) required)
- b. ☐ Other \_\_\_\_\_

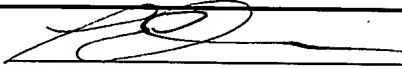
**3. Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. **19-3140 (Deficiency in Enclosed Fees)**
- i. ☐ RCE fee required under 37 CFR 1.17(e)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☒ Other any additional fees required
- b. ☒ The enclosed credit card payment form to charge the amount of \$770.00 covers the filing fee.

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

14. ☒ Customer No. 26263

Dated: November 26, 2003

  
Paul E. Rauch, Ph.D. (Reg. No. 38,591)**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail, Label No.: EL 834728041 US, in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Name (Print/Type) Doris M. PainoSignature Doris M. Paino

Date

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